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*Intellectual Property & Technology Law*

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Page 1 of:

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Attention: USPTO

From: Colin C. Climie

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Your file no.: Patent Serial No. 10/787,097

Date: August 23, 2004

Reply to Toronto file no.: 93422-8

Time:

The following documents are enclosed:

1. Information Disclosure Statement (2 pages)
2. Information Disclosure Statement by Applicant (PTO/SB/08B) (1 page)

If there are any transmission problems, please call (416) 593-5514.

Original copy and any enclosures ☐ will be sent by ☐ mail  
☒ will not ☐ courier

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**OFFICIAL****RECEIVED****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CENTRAL FAX CENTER****AUG 23 2004**

Appl. No. : 10/787,097  
Applicant : XIN, Jin et al.  
TC/A.U. : 2631  
Examiner : n/a  
Filed : February 27, 2004  
Docket No. : 93422-8  
PTO Customer No. : 22463

**Certificate of Transmission**

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Colin Climie

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
USA

Dear Sir:

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

**Timing**

This information disclosure statement is being filed within three months of the filing date of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a

Appln No. 10/787,097  
Art Unit No. 2631

first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications, applications, or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO-1449.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2548.

Respectfully submitted,



Colin C. Climie  
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93422-8  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0851-0031  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	Substitute for form 1449/PTO		<b>Complete if Known</b>	
			Application Number	10/787,097
			Filing Date	February 27, 2004
			First Named Inventor	JIN, Xin
			Art Unit	2631
			Examiner Name	
Sheet 1	of 1	Attorney Docket Number		93422-8

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,267,262	11-30-1993	Wheatley, III	
		US- 5,485,486	01-16-1996	Gilhousen et al.	
		US- 6,107,878	08-22-2000	Black	
		US- 6,137,840	10-24-2000	Tiedemann, Jr. et al	
		US- 6,208,202 B1	03-27-2001	Kaufman et al.	
		US- 6,313,698 B1	11-06-2001	Zhang et al.	
		US- 6,373,823 B1	04-16-2002	Chen et al.	
		US- 6,421,327 B1	07-16-2002	Lundby et al.	
		US- 6,445,247 B1	09-03-2002	Walker	
		US- 6,531,860 B1	03-11-2003	Zhou et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> Number* Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of considered. USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.